Guidelines for Obtaining a LIRR Entry Permit

Is this an LIRR Project: Step 1:

If no, Proceed to Step 2

If Yes, Contact LIRR Project Manager

Step 2: **Request for Entry Permit:**

Contact: Mr. Tom Shen, PE

Managing Engineer – Civil Inspections

Long Island Rail Road, Hillside Maintenance Complex

93-59 183rd Street, Dept. 3146

Hollis, NY 11423

Provide:

On Company letterhead; Company Name, Address, Principal of Company (President or VP), Contact 1) Person's Name, Title, & Telephone #'s, email address,

Tele: (718) 558-4889

Email: ccshen@lirr.org

Tele: (718) 558-3235

Email: pgnebab@lirr.org

Authority for whom the work is being performed (NYC or NYS DOT, County, Utility Company, etc.), if 2)

Contract Number assigned by the above Authority, for the work to be performed,

4) Full Description of work (detailed scope of work), and location of where the work will be performed (street address, cross streets, town, county, etc)

5) Additional information that may clarify the working limits and any interference to LIRR operation (i.e. drawings, surveys, crane calculations, etc.)

Amount of time necessary to complete the work and anticipated period of performance. 6)

After reviewing the Entry Permit request / submittal package for completeness, a copy of the LIRR Entry Permit will be prepared, scanned and forwarded to the requestor for signature. Upon receipt, the requestor must review, sign, scan, and return the permit and all required insurance documents, to the Senior Manager, Planning & **Operational Support.**

Step 3: **Initial Insurance Review:**

Upon receipt, review, and approval of all insurance documents by the MTA Risk & Insurance Management Department, the Senior Manager, Planning & Operational Support will contact the LIRR Controllers regarding the receipt status of the Entry Permit Fee from the requestor.

Step 4 **Entry Permit Fee**

Upon confirmation from the LIRR Controllers Office that the Entry Permit Fee has been received from the requestor, the Senior Manager, Planning & Operational Support will execute and forward a copy of the executed agreement to the requestor, and the requestor shall proceed to Step 5

LIRR Civil Design & Inspection Step 5:

Contact: Mr. Paul Nebab

LIRR Civil Design & Inspection

Sr. Project Engineer

Long Island Rail Road, Hillside Support Facility 93-59 183rd Street, Dept. 3143, Hollis, NY 11423

Provide:

A copy of the executed Entry Permit (signed by LIRR and requestor)

1) 2) 3) Original signed copies of Evidence of Insurance - submitted in the proper format

Items 1 through 6 from Step 2

Upon review of all documents, and obtaining appropriate confirmation from MTARIM that all insurances have been reviewed and approved, and that the Entry Permit agreement has been fully executed, a Field Inspector will be assigned to coordinate activities associated with the project, such as arranging for appropriate flag protection, RWP Training and request for mark-out of LIRR assets.

^{*} It should be noted that the requestor must not alter the documents or take exception to the LIRR Entry Permit Terms and Conditions. Failure to follow the Guidelines described herein may result in the cancellation of the Entry Permit Agreement.

Long Island Rail Road

GENERAL REQUIREMENTS FOR PROJECTS ADJACENT TO LIRR PROPERTY WITH POTENTIAL TO IMPACT LIRR SAFETY AND OPERATIONS

- Attached are "General Requirements for Outside Contractors Working on LIRR Property". These requirements apply to this project. Entry Permit requires an outside agency or contractor to reimburse the LIRR for all project costs (i.e. Flag Protection, Project engineers, Inspectors, etc.)
- Shop drawings and calculations detailing sheeting must be submitted prior to excavation, which may impact the stability of adjacent embankment supporting our tracks. Shop drawings and calculations to include the original seal and signature of a NYS Licensed Professional Engineer. Sheeting to be designed for Cooper E-80 Axel Loading as per the American Railway Engineering Associates.
- Fouling of LIRR Track includes work within 15 ft. of or along LIRR tracks. This also includes operation of equipment or any part of equipment (i.e. crane booms) which could fall onto or within six (6) feet of LIRR tracks.
- All parties are notified that fouling LIRR track without LIRR flag protection being present is a violation of Federal Law.

CRANES

- Crane operation must maintain at least fifteen (15) feet vertical and horizontal separation from overhead LIRR High Tension Lines. If overhead power lines from outside agencies are supported from LIRR High tension poles, a letter from that agency must be submitted to the LIRR stating their vertical and horizontal separation requirements.
- The following conditions apply for operating cranes with booms of sufficient length to fall onto or within six (6) feet of LIRR tracks.
 - Crane information must be submitted to the LIRR for review, including proposed location of crane, proposed boom angle and loading diagram indicating that the crane is capable of supporting 150% of load to be lifted. Loading diagram to include original seal and signature of NYS Licensed Professional engineer.
 - LIRR flag protection must be on site. Crane operations will generally be restricted to 10:00 am to 3:00 pm daily.
 - Crane operator or one (1) construction supervisor who will be on the site full time must be trained and verified in Roadway Worker Protection.
 - Crane operations must follow the direction of LIRR flagmen and face boom parallel to our track when ordered to do so.

Procedure Number: 3rd Party – 3

Title: Adjacent Revision: 2 Date: 03/13/12

Saved Location: H:\Structures\3rd Party Contracting\Procedures\Projects Adjacent to LIRR Property.doc

Long Island Rail Road

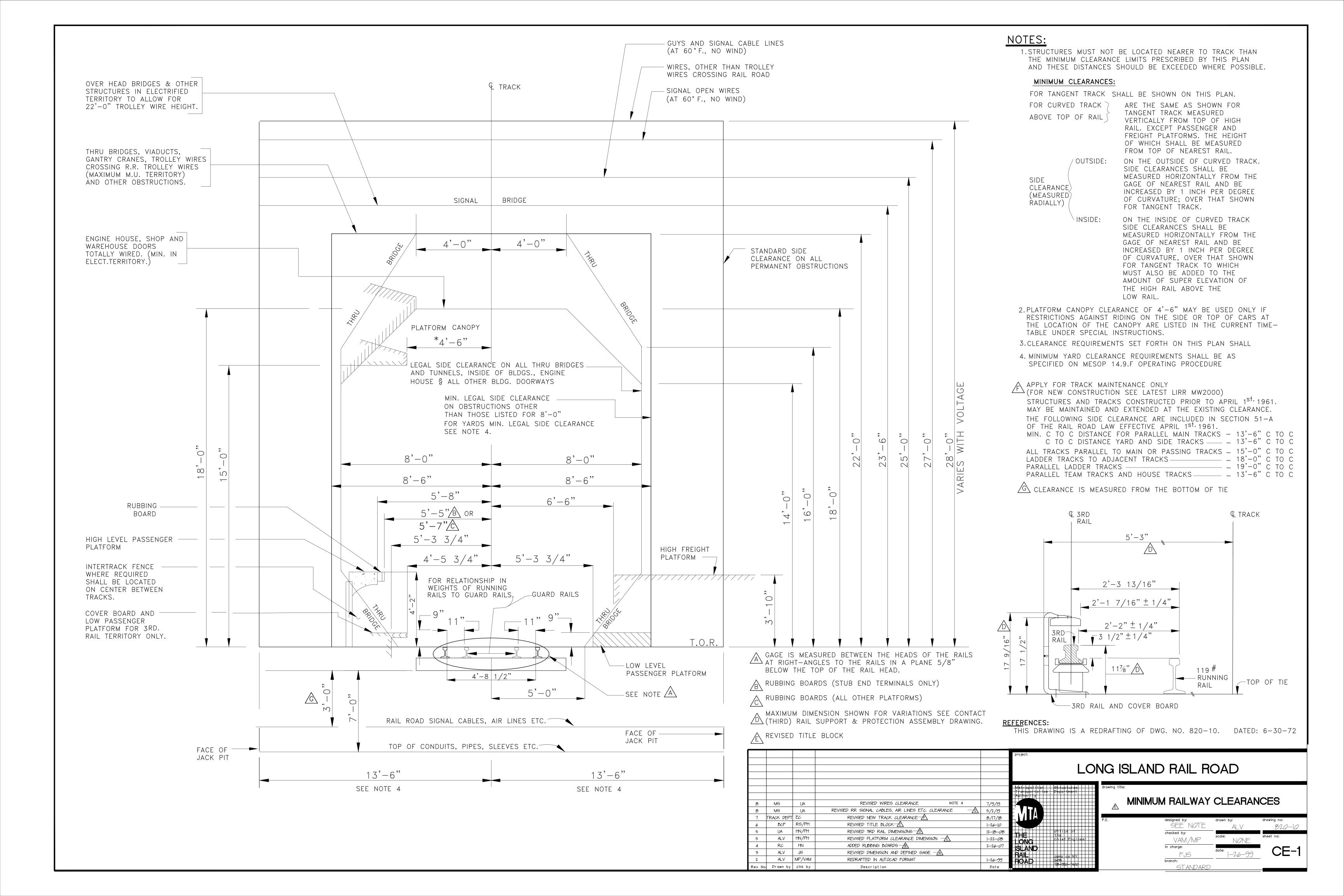
GENERAL REQUIREMENTS FOR OUTSIDE CONTRACTORS WORKING ON LIRR PROPERTY

- Obtain Entry Permits. Call LIRR Manager Engineering Compliance at 347-494-6487.
- Obtain required Railroad Protective Liability Insurance and submit original signed insurance documents to the MTA Risk and Insurance Management, call 646-252-1429.
- All contractor personnel whose duties include working on, within fifteen (15) feet of or above railroad tracks or operating equipment (i.e. cranes) on or near railroad tracks shall attend annual Roadway Worker Protection (RWP). Call LIRR Training Department at 718-558-3100 to schedule.
- Two (2) weeks prior to start of construction call Managing Engineer Civil Inspection at 718-558-3218.

Procedure Number: 3rd Party – 3

Title: General Revision: 2 Date: 03/13/12

Saved Location: H:\Structures\3rd Party Contracting\Procedures\Outside Contractors Working on LIRR Property.doc



GUIDELINES FOR SUBMISSION OF INSURANCE

1. INSURANCE REQUIREMENTS:

Refer to your contract for required insurance coverages, limits, and endorsements and review with your authorized insurance broker for compliance

2. ACCEPTABLE FORMS OF INSURANCE:

- ACORD 25: Certificate of Insurance
- ACORD 855: NY Construction Certificate of Liability Addendum
- ACORD 28: Certificate of Commercial Property Insurance
- ACORD Binder or Insurance Policy
- Workers' Compensation:
 - C-105.2 Certificate of Workers' Compensation Insurance; or
 - U-26.3 Certificate of Workers' Compensation from the State Insurance Fund; or
 - GSI-105/SI-12 Certificate of Workers' Compensation Self Insurance; or
 - CE-200 Attestation of Exemption when Contractor meets the requirements (e.g.) Sole Proprietor

3. CERTIFICATE OF INSURANCE MUST INCLUDE:

- Policy coverage details (e.g.) policy term, per occurrence/per project; limits/sub-limits, aggregate limits, deductibles, self-insured retentions, and insurance carrier name and corresponding NAIC #
- Contract Identifier (e.g.) Contract #, Request for Proposal #, or Entry Permit #
- Location and Description of Work
- Reference indemnified parties as additional insureds, primary and noncontributory coverage, and waiver of subrogation in favor of the MTA Agency
- Certificate Holder must list the MTA Agency
- Certificate of Insurance must be signed by an authorized insurance representative

4. INSURANCE BINDER MUST INCLUDE:

[Applicable for Railroad Protective Liability (RRPL) and Builder's Risk/Installation Floater (BR)]

- Policy coverages and details (e.g.) policy term, limits/sub-limits, aggregate limits, deductibles, self-insured retentions, insurance carrier name and applicable NAIC #
- Contract Number or Entry Permit Number; Designated Contractor; Location and Description of Work
- Reference Indemnified parties as Named Insureds (RRPL) or Additional Named Insureds (BR)
- Binder must be issued and signed by the authorized insurance company or their authorized insurance agent
- Policy must be submitted within 30 days from binder effective date.

5. SUBMISSION OF INSURANCE:

[Initial evidence of all required insurance must be sent to the MTA Agency/Procurement Representative]

- ACORD Certificate of Insurance
- Additional Insured Endorsements (e.g.) CG 20 10/CG 20 26/CG 20 38 and CG 20 37
- Primary and Non-Contributory Endorsements
- Environmental Endorsements (e.g.) MCS 90 and CA 99 48, and Non-Owned Disposal Site (NODS), when applicable
- Insurance Policy A Binder may be accepted pending issuance of the policy.
- Joint Venture:
 - General Liability Insurance must be procured in the name of the Joint Venture; or
 - General Liability Insurance may be endorsed to add the Joint Venture as Additional Named Insured

6. INSURANCE COMPLIANCE:

- Initial Insurance: A "compliant message" will be sent to the Contractor via the MTA Certificate of Insurance Management System (CIMS), the Complianz™.
- Renewal Insurance: Each contract will have a "designated" email address for submission of renewal insurance.